07/20/2011 08:41

Image# 11932008284

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

PORIVI 3X	For Oth	er Than An	Authorize	d Committ	ee		Office Use Only	,
NAME OF COMMITTEE (in full)		MAILING LAI OR PRINT		ample:If typing er the lines	g, type			
Securities Industry and Fir	nancial Market	ts Association	Political Action	n Committee				
ADDRESS (number and street)		lew York Aveni	ue, NW				<u> </u>	
Check if different	8th Flo							
than previously reported. (ACC)	Washir	ngton				DC	20005	
2. FEC IDENTIFICATION N	UMBER Y	_	CITY 🛋			STATE	ZIPCO	ODE 🛕
C00431312			3. IS THIS REPORT		NEW (N) OR		MENDED A)	
4. TYPE OF REPORT (Choose One)	`´ R	lonthly eport ue On:	Feb 20 (M2		May 20 (M5)	Aug	g 20 (M8)	Nov 20 (M11) (Non-Election Year Only)
(a) Quarterly Reports:			Mar 20 (M3		Jun 20 (M6)	Sep	o 20 (M9)	Dec 20 (M12) (Non-Election Year Only)
April 15			Apr 20 (M4)	X	Jul 20 (M7)	Oc	t 20 (M10)	Jan 31 (YE)
Quarterly Report	(c)	12-Day		Primary (12F	P)	General	(12G)	Runoff (12R)
Quarterly Report October 15		Report for t		Convention ((12C)	Special	(12G)	
Quarterly Report January 31 Quarterly Report		!	Election on				in the State	
July 31 Mid-Yea Report(Non-elec Year Only) (MY)	tion (d)	Post -Elec		General (300	G)	Runoff (30R)	Special (30S)
Termination Rep (TER)	ort	Report for t	ne: Election on				in the State	
5. Covering Period	06 0	1 201	1	through	0 6	3 0	2011]
I certify that I have examined th	•		-	and belief it is	true, correct	and complete		
Type or Print Name of Treasure	∍r <u>Mr. K</u>	enneth E. Bent	sen, Jr.					
Signature of Treasurer Elec	tronically Filed	d by Mr. Ken	neth E. Bents	en, Jr.	D	ate 0.7	20	2011
NOTE : Submission of false, el	rroneous, or ir	ncomplete infor	mation may s	ubject the pers	on signing thi	s Report to th	e penalties of 2 L	I.S.C 437g.
Office Use							FEC FOI	

FE6AN026

SUMMARY PAGE

FEC Form 3X (Rev. 02/2003) OF RECEIPTS AND DISBURSEMENTS
Page 2

Write or Type Committee Name Securities Industry and Financial Markets Association Political Action Committee

		COLUMN A This Period	COLUMN B Calendar Year-to-Date
6.	(a) Cash on Hand January 1 2011		256466.58
	(b) Cash on Hand at Begining of Reporting Period	246586.12	
	(c) Total Receipts (from Line 19)	12328.68	100006.65
	(d) Subtotal (add lines 6(b) and		
	6(c) for Column A and Lines 6(a) and 6(c) for Column B)	258914.80	356473.23
	Total Disbursements (from Line 31)	29701.08	127259.51
3.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	229213.72	229213.72
•	Debts and Obligations owed TO the committee (Itemize all on Schedule C and/or Schedule D)	0.00	
0.	Debts and Obligations owed BY the committee (Itemize all on Schedule C and/or Schedule D)	0.00	

X This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E street, NW Washington, DC 20463

> Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE OF RECEIPTS

FEC Form 3X (Rev. 06/2004) Page 3

Write or Type Committee Name

Securities Industry and Financial Markets Association Political Action Committee

Report Covering the Period:

From: 0 6

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то.

м м 0 6 ^D 3 0

Y Y Y Y 2 0 1 1

I. Receipts		COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
	ontributions (other than loans) From:		
(a)	<u> </u>		
	Than Political Committees	11973.62	63993.74
	(i) Itemized (use Schedule A)		
	(ii) Unitemized	153.98	4492.31
	(iii) TOTAL (add		
	Lines 11(a)(i) and (ii)	12127.60	68486.05
		0.00	0.00
(b)	Political Party Committees	0.00	0.00
(c)		0.00	25500.00
/ - 1	(such as PACs)	0.00	23300.00
(d)			
	11(a)(iii),(b) and (c)) (Carry Totals to Line 33, page 5)	12127.60	93986.05
	Totals to Line 33, page 3)		
2. Tr	ansfers From Affiliated/Other	0.00	
Pa	arty Committees	0.00	0.00
		0.00	0.00
B. All	Loans Received	0.00	0.00
1 1 0	an Repayments Received	0.00	0.00
5. Of	fsets To Operating Expenditures		
(R	efunds, Rebates, etc.)	004.00	1000.00
	arry Totals to Line 37, page 5)	201.08	1020.60
	efunds of Contributions Made		
	Federal candidates and Other	0.00	5000.00
Po	litical Committees	0.00	3000.00
	her Federal Receipts	0.00	0.00
(D	ividends, Interest, etc.)	0.00	0.00
. Tr	ansfers from Non-Federal and Levin Funds		
(a)	Non-Federal Account	2.22	
	(from Schedule H3)	0.00	0.00
		0.00	0.00
(b)	Levin Funds (from Schedule H5)	0.00	0.00
		0.00	0.00
(c)	Total Transfer (add 18(a) and 18(b)).	0.00	0.00
) To	tal Receipts (add Lines 11(d),		
	, 13, 14, 15, 16, 17, and 18(c))	12328.68	100006.65
	, -, ,,,,		
	tal Federal Receipts	40000.00	400000 05
(su	ubtract Line 18(c) from Line 19)	12328.68	100006.65

FE6AN026

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)	or disbursements	Page 4	
II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date	
21. Operating Expenditures: (a) Shared Federal/Non-Federal		1	
Activity (from Schedule H4)	0.00	0.00	
(i) Federal Share	0.00	0.00	
(ii) Non-Federal Share	0.00	0.00	
(b) Other Federal Operating Expenditures	201.08	1020.60	
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b))	201.08	1020.60	
2. Transfers to Affiliated/Other Party			
Committees	0.00	0.00	
Federal Candidates/Committeesand Other Political Committees	29500.00	126000.00	
4. Independent Expenditure	0.00	0.00	
(use Schedule E)	0.00	5.00	
Committees (2 U.S.C. 441a(d)) (use Schedule F)	0.00	0.00	
6. Loan Repayments Made	0.00	0.00	
27. Loans Made	0.00	0.00	
28. Refunds of Contributions To: (a) Individuals/Persons Other	0.00	0.00	
Than Political Committees			
(b) Political Party Committees	0.00	0.00	
(c) Other Political Committees (such as PACs)	0.00	0.00	
(d) Total Contribution Refunds			
(add Lines 28(a), (b), and (c))	0.00	0.00	
9. Other Disbursements	0.00	238.91	
Federal Election Activity (2 U.S.C 431(20))			
(a) Shared Federal Election Activity			
(from Schedule H6)	0.00	0.00	
(i) Federal Share			
(ii) "Levin" Share	0.00	0.00	
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00	
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00	
31. Total Disbursements (add Lines 21(c), 22,			
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	29701.08	127259.51	
32. Total Federal Disbursements			
(subtract Line 21(a)(ii) and Line 30(a)(ii)	00704.00	107050 51	
from Line 31)	29701.08	127259.51	

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003) Page 5

	III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33.	Total Contributions (other than loans) from Line 11(d), page 3)	12127.60	93986.05
34.	Total Contribution Refunds (from Line 28(d))	0.00	0.00
35.	Net Contributions (other than loans) (subtract Line 34 from Line 33)	12127.60	93986.05
36.	Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	201.08	1020.60
37.	Offsets to Operating Expenditures (from Line 15, page 3)	201.08	1020.60
38.	Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	0.00

FE6AN026

SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS)	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 6 / 29 (check only one) X
Any information copied from such Reports and or for commercial purposes, other than using to NAME OF COMMITTEE (In Full)	the name and add	dress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
Securities Industry and Financial Ma	arkets Associa	tion Political Action Commit	lee
Full Name (Last, First, Middle Initial) Mr. Craig W. Laraia			Date of Receipt
Mailing Address 65 East 55th Street 9th FI	Obsta	7'- 0-1	06 06 2011
City New York	State NY	Zip Code 10022-3219	Transaction ID: 19178905 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		500.00
Name of Employer RBC Dain Rauscher Inc.	Occupatio Managin	n g Director	
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 500.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Thomas F Price			Date of Receipt
Mailing Address 120 Broadway 35th Floor			06 03 7 2011
City	State	Zip Code	Transaction ID: 19249166
New York	NY	10271-3599	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		38.50
Name of Employer Securities Industry and	Occupatio		
Financial Mark Receipt For:		g Director	_
Primary General	Aggregate	Year-to-Date ▼	Contribution
Other (specify) ▼	0 0	423.50	Contribution
Full Name (Last, First, Middle Initial) Mr. Joseph M. Vaughan			Date of Receipt
Mailing Address 1101 New York Aver Suite 800	nue, NW		06 03 2011
City	State	Zip Code	Transaction ID: 19249167
Washington	DC	20005-4279	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		28.85
Name of Employer Securities Industry and Financial Mark	Occupatio Vice Pres	sident	
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 269.25	Contribution
SUBTOTAL of Receipts This Page (optional)			567.35

SCHEDULE A (FEC FOITEMIZED RECEIPTS	orm 3X)	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 7 / 29 (check only one) X
or for commercial purposes, other	than using the name and a	ay not be sold or used by any persoddress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Fu Securities Industry and Fir	,	ation Political Action Commit	tee
Full Name (Last, First, Middle II Mr. John Hallmark	, 		Date of Receipt
8th Floor	York Ave, NW		06 03 7 2011
City	State	Zip Code	Transaction ID: 19249168
Washington	DC	20005-4269	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		38.50
Name of Employer Securities Industry and Financial Mark	Occupati Managii	on ng Director	
Receipt For: Primary Genera		te Year-to-Date ▼	Contribution
Other (specify) ▼		307.00	
Full Name (Last, First, Middle II Ms. N. Ellen McCarthy	nitial)		Date of Receipt
8th Floor	York Ave, NW		06 03 7 2011
City	State	Zip Code	Transaction ID: 19249169
Washington	DC	20005-4269	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		19.23
Name of Employer Securities Industry and Financial Mark	Occupati Managii	on ng Director	
Receipt For:		te Year-to-Date ▼	
Primary Genera Other (specify) ▼		229.80	Contribution
Full Name (Last, First, Middle II Ms. Bradley Gaskins Edgell	nitial)		Date of Receipt
Mailing Address 1101 New 8th Floor	York Ave, NW		M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State	Zip Code	Transaction ID: 19249171
Washington	DC	20005-4269	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		38.50
Name of Employer Securities Industry and Financial Mark	Occupati Managii	on ng Director	
Receipt For: Primary Genera Other (specify) ▼	00 0	te Year-to-Date ▼ 308.00	Contribution
	je (optional)		96.23

SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS	()	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 8 / 29 (check only one) X 11a
Any information copied from such Reports an or for commercial purposes, other than using	d Statements may	y not be sold or used by any persidress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Securities Industry and Financial M		•	
Full Name (Last, First, Middle Initial) Mr. Kenneth E. Bentsen, Jr.			Date of Receipt
Mailing Address 1101 New York Ave	e, NW		M M / D D / Y Y Y Y Y O D D / 2011
City Washington	State DC	Zip Code 20005-4269	Transaction ID: 19249172 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		192.35
Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify) ▼		olic Policy and Advocacy Year-to-Date ▼ 2115.85	Contribution
Full Name (Last, First, Middle Initial) Ms Kim Chamberlain Mailing Address 120 Broadway 35th Floor			Date of Receipt 0 6 0 3 2 0 1 1
City	State	Zip Code	Transaction ID: 19249174
New York	NY	10271-3599	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		38.50
Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify) ▼		n g Director & Counsel, State Year-to-Date ▼ 269.50	Gov
Full Name (Last, First, Middle Initial) Marin E. Gibson			Date of Receipt
Mailing Address 120 Broadway 35th Floor			06 03 7 9 9 9
City New York	State NY	Zip Code 10271-3599	Transaction ID: 19249175 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	10271-3399	69.23
Name of Employer Securities Industry and Financial Mark Receipt For: Primary General		n g Director & Counsel • Year-to-Date ▼	
Other (specify)		761.53	Contribution
SUBTOTAL of Receipts This Page (optiona	· · · · · · · · · · · · · · · · · · ·		300.08

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS	Use separate sche for each category o Detailed Summary	f the
Any information copied from such Reports and or for commercial purposes, other than using the NAME OF COMMITTEE (In Full)	Statements may not be sold or used be name and address of any political co	y any person for the purpose of soliciting contributions ommittee to solicit contributions from such committee.
Securities Industry and Financial Ma	rkets Association Political Action	Committee
Full Name (Last, First, Middle Initial) Mr. Carter McDowell		Date of Receipt
Mailing Address 1101 New York Ave, 8th Floor		06 03 7 2011
City	State Zip Code	Transaction ID: 19249176
Washington	DC 20005-4269	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	100.00
Name of Employer Securities Industry and Financial Mark	Occupation Senior Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	110	O0.00 Contribution
Full Name (Last, First, Middle Initial) Ms. Kyle Brandon		Date of Receipt
Mailing Address 120 Broadway 35th Floor		06 / 03 / 12011
City	State Zip Code	Transaction ID: 19249178
New York	NY 10271-3599	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	77.00
Name of Employer Securities Industry and Financial Mark	Occupation Managing Director, DOR	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	38	Contribution
Full Name (Last, First, Middle Initial) Ms. Cheryl Crispen		Date of Receipt
Mailing Address 1101 New York Ave,	NW	0 6 0 3 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID: 19249181
Washington	DC 20005-4213	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	96.15
Name of Employer Securities Industry and Financial Mark	Occupation Executive Vice President, Co	ommunicatio
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼	Contribution
	L	

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 10 / 29 (check only one) X
NAME OF COMMITTEE (In Full)	Statements may not be sold or used by any personal he name and address of any political committee to the com	
Full Name (Last, First, Middle Initial) Mr. James J. Tricolli		Date of Receipt
Mailing Address 709 Great Springs R	oad	0 6 0 8 2 0 1 1
City	State Zip Code	Transaction ID: 19249182
Bryn Mawr	PA 19010-1703	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	1000.00
Name of Employer RBC Capital Markets	Occupation Managing Director	
Receipt For:	Managing Director Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	1000.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Christopher Hamel		Date of Receipt
Mailing Address 6030 E. Mariposa St	reet	0 6 0 8 2 0 1 1
City	State Zip Code	Transaction ID: 19249183
<u>Scottsdale</u>	AZ 85251-1935	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	1000.00
Name of Employer RBC Capital Markets	Occupation Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	1000.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Robert E. Spangler		Date of Receipt
Mailing Address 61 Bridle Path Lane		M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID: 19249185
New Canaan	CT 06840-3906	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	1000.00
Name of Employer RBC Capital Markets	Occupation Managing Director	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00	Contribution

SCHEDULE A (FEC Form 3X TEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 11 / 29 (check only one) X 11a 11b 11c 12 13 14 15 16
	d Statements may not be sold or used by any per the name and address of any political committee	
NAME OF COMMITTEE (In Full) Securities Industry and Financial Ma	arkets Association Political Action Comm	ittee
Full Name (Last, First, Middle Initial) Mr. Daniel Heimowitz		Date of Receipt
Mailing Address 136 East 79th Stree		06 08 7 2011
City New York	State Zip Code NY 10075-0328	Transaction ID: 19249186 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer RBC Capital Markets	Occupation Managing Director	
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 500.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Duncan Lamme		Date of Receipt
Mailing Address 3043 Reba		M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID: 19249187
Houston	TX 77019-6203	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer RBC Capital Markets	Occupation Managing Director	
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 500.00	Contribution
Full Name (Last, First, Middle Initial) Ms. Helen Feinberg		Date of Receipt
Mailing Address 702 Pass-a-Grille W	ay	0 6 0 8 2 0 1 1
City	State Zip Code	Transaction ID: 19249189
St. Pete Beach FEC ID number of contributing federal political committee.	FL 33706	Amount of Each Receipt this Period 500.00
Name of Employer RBC Capital Markets	Occupation Managing Director	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	Contribution
SUBTOTAL of Receipts This Page (optional)	1500.00

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 12 / 29 (check only one) X	
Any information copied from such Reports and or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Securities Industry and Financial Mai	Statements may not be sold or used by any person name and address of any political committee to rkets Association Political Action Commi		
Full Name (Last, First, Middle Initial) Mr. Matt Boles		Date of Receipt	
Mailing Address 4659 Belclaire Avenu		06 08 2011	
City Dallas	State Zip Code TX 75209-6003	Transaction ID: 19249190 Amount of Each Receipt this Period	
FEC ID number of contributing federal political committee.	C	500.00	
Name of Employer RBC Capital Markets Receipt For:	Occupation Managing Director Aggregate Year-to-Date ▼		
Primary General Other (specify) ▼	500.00	Contribution	
Full Name (Last, First, Middle Initial) Ms. Kathleen A. Costine	•	Date of Receipt	
Mailing Address 200 Vesey Street, 12	Mailing Address 200 Vesey Street, 12th Floor		
City	State Zip Code	Transaction ID: 19249191	
New York	NY 10285-1000	Amount of Each Receipt this Period	
FEC ID number of contributing federal political committee.	C	500.00	
Name of Employer RBC Capital Markets	Occupation Senior Managing Director		
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	Contribution	
Full Name (Last, First, Middle Initial) Mr. Rod A. Carter		Date of Receipt	
Mailing Address 5636 Park Oak Place		0 6 0 8 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y	
City	State Zip Code	Transaction ID: 19249192	
Los Angeles	CA 90068-2546	Amount of Each Receipt this Period	
FEC ID number of contributing federal political committee.	C	500.00	
Name of Employer RBC Capital Markets	Occupation Managing Director		
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 500.00	Contribution	
SUBTOTAL of Receipts This Page (optional)		1500.00	

	CHEDULE A (FEC Form 3X) FEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 13 / 29 (check only one) X 11a
2	ny information copied from such Reports and S r for commercial purposes, other than using the NAME OF COMMITTEE (In Full)	tatements ma name and ad	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
	Securities Industry and Financial Mark	cets Associa	tion Political Action Committ	iee
۸.	Full Name (Last, First, Middle Initial) Mr. Daniel B. Burton			Date of Receipt
	Mailing Address 634 Northfield Road			06 08 2011
	City	State	Zip Code	Transaction ID: 19249193
	Lititz	PA	17543-8355	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		500.00
	Name of Employer RBC Capital Markets	Occupatio Managin	n g Director	7
	Receipt For:	Aggregate	e Year-to-Date ▼	
	Primary General Other (specify) ▼		500.00	Contribution
. –	Full Name (Last, First, Middle Initial) Mr. John Puig	!		Date of Receipt
	Mailing Address 4 Chestnut Hill Road N	lorth		M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: 19249194
	Loudonville	NY	12211-1661	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		500.00
	Name of Employer RBC Capital Markets	Occupatio Managin	n g Director	7
	Receipt For:	Aggregate	e Year-to-Date	
	Primary General Other (specify) ▼		500.00	Contribution
_	Full Name (Last, First, Middle Initial) Mr. Todd I. Braff			Date of Receipt
	Mailing Address 189 Green Hills Road			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: 19249195
	Cincinnati	OH	45208-3421	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		500.00
	Name of Employer RBC Capital Markets	Occupatio Managin	n g Director	7
	Receipt For: Primary General Other (specify) ▼	, · · · · · · ·	e Year-to-Date ▼ 500.00	Contribution
Г	SUBTOTAL of Receipts This Page (optional)	1		1500.00

A. Fu Mr Ma	commercial purposes, other than using the AME OF COMMITTEE (In Full) ecurities Industry and Financial Markell Name (Last, First, Middle Initial) - Paul J. Cassidy ailing Address 6200 Uptown Bouleva Suite 110 ty buquerque EC ID number of contributing deral political committee.	e name and add	dress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee. tee Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
A. Fundamental Section	ecurities Industry and Financial Mark III Name (Last, First, Middle Initial) Paul J. Cassidy Ediling Address 6200 Uptown Bouleva Suite 110 Edily Edily Edily Industry Edily	rd Northeast State NM	Zip Code	Date of Receipt M M M / D D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
A. Mr Ma Cit Al Fe	r. Paul J. Cassidy ailing Address 6200 Uptown Bouleva Suite 110 ty buquerque EC ID number of contributing deral political committee.	State NM	Zip Code	M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Cit <u>Al</u> FE fec	Suite 110 ty buquerque EC ID number of contributing deral political committee.	State NM	Zip Code	06 08 2011
Al FE fed	buquerque EC ID number of contributing deral political committee.	NM	·	Transaction ID: 19249196
FE fed	EC ID number of contributing deral political committee.		8/110-4160	
Na	ame of Employer			Amount of Each Receipt this Period 500.00
RI	ame of Employer 3C Capital Markets	Occupatio	n g Director	
Re	eceipt For: Primary General Other (specify)	, ' <u> </u>	e Year-to-Date ▼ 500.00	Contribution
	ıll Name (Last, First, Middle Initial) r. Shawn Dralle			Date of Receipt
Ma	ailing Address 90 W. Virginia Avenue)		M M / D D / Y Y Y Y Y Y O D D D D D D D D D D D D D
Cit		State	Zip Code	Transaction ID: 19249197
<u>P</u> l	noenix	<u>AZ</u>	85003-1000	Amount of Each Receipt this Period
	EC ID number of contributing deral political committee.	С		500.00
Na Ri	ame of Employer BC Capital Markets	Occupatio Managin	n g Director	
Re	eceipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 500.00	Contribution
	III Name (Last, First, Middle Initial)	1		Date of Receipt
Ma	ailing Address 577 4th Street			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Cit		State	Zip Code	Transaction ID: 19249198
	rooklyn	NY	11215-3008	Amount of Each Receipt this Period
	EC ID number of contributing deral political committee.	C		500.00
	ame of Employer BC Capital Markets	Occupatio Managin	n g Director	
Re	eceipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 500.00	Contribution
SUB	TOTAL of Receipts This Page (optional)			1500.00

SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS	^)	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 15/29 (check only one) X 11a
Any information copied from such Reports ar or for commercial purposes, other than using	nd Statements may the name and add	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Securities Industry and Financial M	Markets Associa	tion Political Action Commit	tee
Full Name (Last, First, Middle Initial) Mr. Russell Heise			Date of Receipt
Mailing Address 430 Madison Street	t		M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State	Zip Code	Transaction ID: 19249199
Denver FEC ID number of contributing federal political committee.	C	80206-4439	Amount of Each Receipt this Period 500.00
Name of Employer RBC Capital Markets	Occupation Senior Vi	n ice President	
Receipt For: ☐ Primary ☐ General Other (specify) ▼	Aggregate	Year-to-Date ▼ 500.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Thomas F Price	l		Date of Receipt
Mailing Address 120 Broadway 35th Floor			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City New York	State NY	Zip Code 10271-3599	Transaction ID: 19249208
FEC ID number of contributing federal political committee.	C	10271-3399	Amount of Each Receipt this Period 38.50
Name of Employer Securities Industry and Financial Mark	Occupation Managine	n g Director	
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 462.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Joseph M. Vaughan			Date of Receipt
Mailing Address 1101 New York Ave Suite 800	enue, NW		M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City Washington	State DC	Zip Code 20005-4279	Transaction ID: 19249217
FEC ID number of contributing federal political committee.	C	20005-4279	Amount of Each Receipt this Period 28.85
Name of Employer Securities Industry and Financial Mark	Occupation Vice Pres	sident	
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 298.10	Contribution
SUBTOTAL of Receipts This Page (optional			567.35

	SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 16 / 29 (check only one) X
4	Any information copied from such Reports and or for commercial purposes, other than using the	Statements may e name and add	y not be sold or used by any persodress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
	NAME OF COMMITTEE (In Full) Securities Industry and Financial Mar	kets Associa	tion Political Action Commit	tee
. <u>/</u>	Full Name (Last, First, Middle Initial) Mr. John Hallmark			Date of Receipt
	Mailing Address 1101 New York Ave, I 8th Floor			06 17 2011
	City	State	Zip Code	Transaction ID: 19249219
	Washington	DC	20005-4269	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		38.50
	Name of Employer Securities Industry and Financial Mark	Occupatio Managin	n g Director	
	Receipt For:	, ' 	e Year-to-Date ▼	
	Primary General Other (specify) ▼	33 13111	345.50	Contribution
_	Full Name (Last, First, Middle Initial) Ms. N. Ellen McCarthy			Date of Receipt
	Mailing Address 1101 New York Ave, I 8th Floor	٧W		0 6 1 7 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: 19249220
	Washington	DC	20005-4269	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		19.23
	Name of Employer Securities Industry and Financial Mark	Occupatio Managin	n g Director	
	Receipt For:	Aggregate	e Year-to-Date ▼	
	Primary General Other (specify) ▼		249.03	Contribution
_	Full Name (Last, First, Middle Initial) Ms. Bradley Gaskins Edgell			Date of Receipt
	Mailing Address 1101 New York Ave, I 8th Floor	٧W		M M / D D / Y Y Y Y Y Y Y 1 1 1 1 1 1 1 1 1 1 1 1
	City	State	Zip Code	Transaction ID: 19249223
	Washington	DC	20005-4269	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		38.50
	Name of Employer Securities Industry and Financial Mark	, '	g Director	
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 346.50	Contribution
	SUBTOTAL of Receipts This Page (optional) .	1		96.23

SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 17 / 29 (check only one) X 11a 11b 11c 12 13 14 15 16
Any information copied from such Reports ar or for commercial purposes, other than using	nd Statements may not be sold or used by any person the name and address of any political committee to	n for the purpose of soliciting contributions solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Securities Industry and Financial M	larkets Association Political Action Committe	ee
Full Name (Last, First, Middle Initial) Mr. Kenneth E. Bentsen, Jr.		Date of Receipt
Mailing Address 1101 New York Ave 8th Floor	e, NW	0 6 1 7 2 0 1 1
City Washington	State Zip Code DC 20005-4269	Transaction ID: 19249238 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	192.35
Name of Employer Securities Industry and	Occupation EVP, Public Policy and Advocacy	
Financial Mark Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 2308.20	Contribution
Full Name (Last, First, Middle Initial) Ms Kim Chamberlain		Date of Receipt
Mailing Address 120 Broadway 35th Floor		06 17 2011
City	State Zip Code	Transaction ID: 19249240
New York	NY 10271-3599	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	38.50
Name of Employer Securities Industry and	Occupation	7
Financial Mark	Managing Director & Counsel, State G	Solv
Receipt For: Primary General	Aggregate Year-to-Date ▼	O and village time.
Other (specify)	308.00	Contribution
Full Name (Last, First, Middle Initial) Marin E. Gibson		Date of Receipt
Mailing Address 120 Broadway 35th Floor		0 6 1 7 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID: 19249247
New York	NY 10271-3599	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	69.23
Name of Employer Securities Industry and	Occupation Managing Director & Counsel	
Financial Mark Receipt For:	Aggregate Year-to-Date ▼	-
Primary General Other (specify) ▼	830.76	Contribution
		300.08

SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 18 / 29 (check only one) X 11a 11b 11c 12 13 14 15 16
Any information copied from such Reports a or for commercial purposes, other than using	and Statements may not be sold or used by any perso g the name and address of any political committee to	on for the purpose of soliciting contributions solicit contributions from such committee.
NAME OF COMMITTEE (In Full)	Markets Association Political Action Committ	
Full Name (Last, First, Middle Initial) Mr. Carter McDowell		Date of Receipt
Mailing Address 1101 New York Av 8th Floor	re, NW	0 6 1 7 2 0 1 1
City Washington	State Zip Code DC 20005-4269	Transaction ID: 19249248 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	100.00
Name of Employer Securities Industry and Financial Mark	Occupation Senior Managing Director	
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 1200.00	Contribution
Full Name (Last, First, Middle Initial) Ms. Kyle Brandon		Date of Receipt
Mailing Address 120 Broadway 35th Floor		0 6 1 7 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID: 19249256
New York	NY 10271-3599	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	77.00
Name of Employer Securities Industry and	Occupation	
<u>Financial Mark</u> Receipt For:	Managing Director, DOR Aggregate Year-to-Date ▼	
Primary General	Aggregate Year-to-Date ▼	Contribution
Other (specify) ▼	462.00	Contribution
Full Name (Last, First, Middle Initial) Ms. Cheryl Crispen		Date of Receipt
Mailing Address 1101 New York Av	re, NW	M M / D D / Y Y Y Y Y O D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID: 19249261
Washington	DC 20005-4213	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	96.15
Name of Employer Securities Industry and Financial Mark	Occupation Executive Vice President, Communication	ato
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1153.80	Contribution
SURTOTAL of Receipts This Page (ention	al)	273.15

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SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 19 / 29 (check only one) X 11a 11b 11c 12 13 14 15 16 17
Any information copied from such Reports and Stor for commercial purposes, other than using the		
NAME OF COMMITTEE (In Full) Securities Industry and Financial Market	ets Association Political Action Committe	ee
Full Name (Last, First, Middle Initial) Mr. John Dinkins Mailing Address 4301 Forsythe Place		Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City Nashville	State Zip Code TN 37205-4515	Transaction ID: 19249264 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer RBC Capital Markets	Occupation Director of Managed Assets	
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 500.00	Contribution

SUBTOTAL of Receipts This Page (optional)	>	500.00
TOTAL This Period (last page this line number only)	•	11973.62

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 20 / 29 (check only one) 11a 11b 11c 12 13 14 X 15 16 17
Any information copied from such Reports and Sta or for commercial purposes, other than using the r		
NAME OF COMMITTEE (In Full) Securities Industry and Financial Marke	ets Association Political Action Committe	ee
Full Name (Last, First, Middle Initial) Securities Industry and Financial Markets Associat Mailing Address 120 Broadway Floor 35 City	tion State Zip Code	Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y
New York FEC ID number of contributing federal political committee. Name of Employer	NY 10271 C Occupation	Amount of Each Receipt this Period 201.08
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1020.60	Bank Fees Reimbursement

SUBTOTAL of Receipts This Page (optional)	>	201.08
TOTAL This Period (last page this line number only)	•	201.08

SCHEDULE B (FEC Form 3X)	CHEDULE B (FEC Form 3X) Use separate schedule(s			_		NUMBER: PAGE 21 / 29							
ITEMIZED DISBURSEMENTS	for each c	eategory of the Summary Page		(ch	eck only 21b 27	one) 22 28a	X	23 28	b [24 28c	E	25 29	26
Any Information copied from such Reports and Statem or for commercial purposes, other than using the name													3
NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets						00110				. 50011			
Full Name (Last, First, Middle Initial)					T					4015	74 / -		
Stivers For Congress						Trans Date				1913 ⁻ ment	/11(J	
Mailing Address 4679 Winterset Drive						0 ^M 6	М	′	0	D /	Ý Ž	011	I
	State OH	Zip Code 43220				Amou	nt o	f Ea	ach [Disburs	-		
Purpose of Disbursement Contribution				01 ⁻	1	<u></u>					10	00.00)
Candidate Name Rep. Steve Stivers				ateg Typ	, ,								
Senate X President	ment For: Primary Other (spec	2012 General				Contr	ibut	ion	l				
State: OH District: 15 Full Name (Last, First, Middle Initial)													
Scott Brown For Us Senate Committee						Date o	of D	isbu	ırser				V
Mailing Address P.O. Box 395						0 ^M 6	IVI	Ĺ	0	D / 1	Ž	011	l ^Y
•	State MA	Zip Code 02093				Amou	nt o	f Ea	ch [Disburs			
Purpose of Disbursement Contribution				01	1		_				15	00.00)
Candidate Name Sen. Scott Brown				ateg Typ									
Office Sought: House Disburse X Senate X President State: MA District:	ment For: Primary Other (spec	2012 General cify)				Contr	ibut	ion	l				
Full Name (Last, First, Middle Initial) Devin Nunes Campaign Committee						Date o	of D		ırser		7207	7	
Mailing Address PO Box 6545						0 ^M 6	М	′	0	D /	Y 2	011	I
•	State CA	Zip Code 93290				Amou	nt o	f Ea	ch [Disburs	emer	nt this F	Period
Purpose of Disbursement Contribution				01	1	L.		_			10	00.00)
Candidate Name Rep. Devin G. Nunes				ateg Typ									
Senate X President	ment For: Primary Other (spec	2012 General cify)				Contr	ibut	ion	I				
State: CA District: 21							_	_	_				
SUBTOTAL of Disbursements This Page (optional)						<u></u>			_		35	00.00)
TOTAL This Period (last page this line number only)					•								

SCHEDULE B (FEC FOIII 3X)		arate schedule(s)			LINE NUMBER: PAGE 22 / 29 k only one)
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Any Information copied from such Reports and Sor for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Securities Industry and Financial Mark	name and addres	ss of any political	l comr	nittee t	to solicit contributions from such committee
Full Name (Last, First, Middle Initial) Judy Biggert For Congress Mailing Address P.O. Box 637					Transaction ID: 19190056 Date of Disbursement
	Chaha	7:- Oada			
City Hinsdale	State IL	Zip Code 60522			Amount of Each Disbursement this Period
Purpose of Disbursement Contribution Candidate Name Rep. Judy Biggert			Ca	011 tegory/ ype	1000.00
Office Sought: X House Senate President State: IL District: 13	bursement For: X Primary Other (spe	2012 General		<u>,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,</u>	Contribution
Full Name (Last, First, Middle Initial) Ed Royce For Congress					Transaction ID: 19190057 Date of Disbursement
Mailing Address P.O. Box 2525					06 06 7 20 7 2011
City Orange	State CA	Zip Code 92859			Amount of Each Disbursement this Period
Purpose of Disbursement Contribution Candidate Name			Ca	011 tegory/	1000.00
Rep. Edward Royce Office Sought: X House Dis Senate President State: CA District: 39	bursement For: X Primary Other (spe	2012 General		- уре	Contribution
Full Name (Last, First, Middle Initial) Montanans For Tester					Transaction ID: 19190059 Date of Disbursement
Mailing Address PO Box 1135					06 7 20 7 2011
City Helena	State MT	Zip Code 59624			Amount of Each Disbursement this Period
Purpose of Disbursement Contribution Candidate Name				011 togon/	1000.00
Sen. Jon Tester				tegory/ ype	
X Senate President	bursement For: Primary Other (spe	2012 X General cify) ▼			Contribution
State: MT District:					

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	Rush Holt For	Congress								of Disburs	ement	V V	V	V
	Mailing Address	PO Box 782							0 6		20	2 () 1 1	
	City Pennington			State NJ	Zip Code 08534				Amou	nt of Eac	n Disburs	ement	this P	erio
	Purpose of Disbu	ursement						_				100	0.00	
	Contribution Candidate Name						011							
	Rep. Rush D.						itegor Type	y/						
	Office Sought:	χ House	Disburse		2012				Contr	ibution				
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	State: NJ	District: 12		J.1.01 (0P	J/ ▼									
	,	First, Middle Initial)	•						Trans	action ID	: 1919	0061		
	Steve Fincher	For Congress								of Disburs				
	Mailing Address	PO Box 11153							0 ^M 6	M / D	20 /	ž () 1 1	Y
	City			State	Zip Code				Amou	nt of Eac	n Disburs	ement	this P	eric
	Jackson Purpose of Disbu	ıreamant		TN	38308			_				100	0.00	
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	Candidate Name Rep. Stephen						tegor	y/						
	Office Sought:	χ House	Disburse	ment For:	2012		Гуре							
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	State: TN	President District: 08		Other (sp	ecify) 🔻									
		First, Middle Initial)							Trans	action ID	. 1919	0062		
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	Mailing Address	84-56 Grand A	venue						0 ^M 6	M / D	20 /	Ý Ž() 1 1	Υ
	City Elmhurst			State NY	Zip Code 11373				Amou	nt of Eac	n Disburs	ement	this P	eric
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	Candidate Name Rep. Joseph C					Ca	011 itegor	y/						
	Office Sought:	x House	Disburse	ment For	2012		Гуре							
	omoo oougm.	Senate		Primary	General				Contr	ibution				
	0	President		Other (sp	ecify) 🔻									
	State: NY	District: 07												

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					for the purpose of soliciting contributions licit contributions from such committee
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\	dustry and Financial Ma	arkets Associatio	on Political Acti	on Committee)
	st, First, Middle Initial)				Transaction ID: 19190065
Michael Grir	nm For Congress				Date of Disbursement
Mailing Addres	ss 560 9th Street				0 6 1 2 0 1 1
City Brooklyn		State NY	Zip Code 11215		Amount of Each Disbursement this Period
Purpose of Dis	bursement				1000.00
Contribution Candidate Nar				011 Category/	
Rep. Michae				Type	
Office Sought:		Disbursement For:	2012		Contribution
	Senate President	X Primary Other (spe	General		
State: NY	District: 13				
•	st, First, Middle Initial)				Transaction ID: 19190066
David Schwe	eikert For Congress				Date of Disbursement
Mailing Addres	s 15749 E El Lago I	Blvd			$\begin{bmatrix} \begin{smallmatrix} M & G & M \\ O & G & M \end{smallmatrix} & \begin{bmatrix} \begin{smallmatrix} D & D \\ D & D \end{smallmatrix} \end{bmatrix} & \begin{bmatrix} \begin{smallmatrix} Y & Y & Y & Y \\ D & D & I & I \end{bmatrix} & \begin{bmatrix} Y & Y & Y & Y \\ D & I & I & I \end{bmatrix} & \begin{bmatrix} I & I & Y \\ I & I & I & I \end{bmatrix} & \begin{bmatrix} I & I & I & I \\ I & I & I & I \end{bmatrix} & \begin{bmatrix} I & I & I & I \\ I & I & I & I \end{bmatrix} & \begin{bmatrix} I & I & I & I \\ I & I & I & I \end{bmatrix} & \begin{bmatrix} I & I & I & I \\ I & I & I & I \end{bmatrix} & \begin{bmatrix} I & I & I \\ I & I & I \end{bmatrix} & \begin{bmatrix} I & I & I \\ I & I & I \end{bmatrix} & \begin{bmatrix} I & I & I \\ I & I & I \end{bmatrix} & \begin{bmatrix} I & I & I \\ I & I & I \end{bmatrix} & \begin{bmatrix} I & I & I \\ I & I & I \end{bmatrix} & \begin{bmatrix} I & I & I \\ I & I & I \end{bmatrix} & \begin{bmatrix} I & I & I \\ I & I & I \end{bmatrix} & \begin{bmatrix} I & I & I \\ I & I \end{bmatrix} & \begin{bmatrix} I & I & I \\ I & I \end{bmatrix} & \begin{bmatrix} I & I & I \\ I & I \end{bmatrix} & \begin{bmatrix} I & I & I \\ I & I \end{bmatrix} & \begin{bmatrix} I & I & I \\ I & I \end{bmatrix} & \begin{bmatrix} I & I & I \\ I & I \end{bmatrix} & \begin{bmatrix} I & I & I \\ I & I \end{bmatrix} & \begin{bmatrix} I & I & I \\ I & I \end{bmatrix} & \begin{bmatrix} I & I & I \\ I & I \end{bmatrix} & \begin{bmatrix} I & I \\ I & I \end{bmatrix} & \begin{bmatrix} I & I \\ I & I \end{bmatrix} & \begin{bmatrix} I & I \\ I & I \end{bmatrix} & \begin{bmatrix} I & I \\ I & I \end{bmatrix} & \begin{bmatrix} I & I \\ I & I \end{bmatrix} & \begin{bmatrix} I & I \\ I & I \end{bmatrix} & \begin{bmatrix} I & I \\ I & I \end{bmatrix} & \begin{bmatrix} I & I \end{bmatrix} & \begin{bmatrix} I & I \\ I & I \end{bmatrix} & \begin{bmatrix} I & I \end{bmatrix} & \begin{bmatrix} I & I \\ I & I \end{bmatrix} & \begin{bmatrix} I & I \end{bmatrix} & \begin{bmatrix} I & I \\ I & I \end{bmatrix} & \begin{bmatrix} I & I$
City Fountain Hil	s	State AZ	Zip Code 85268		Amount of Each Disbursement this Period
Purpose of Dis	bursement			011	1000.00
Candidate Nar Rep. David S				Category/ Type	
Office Sought:		Disbursement For:	2012	,,	Contribution
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Friends Of N		ue State NY	Zip Code 10512		06 / 20 / 2011
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Friends Of N Mailing Addres City Carmel Purpose of Dis Contribution Candidate Nar	sbursement ne ayworth X House Senate	State NY Disbursement For: X Primary	2012 General	Category/	Amount of Each Disbursement this Period
City Carmel Purpose of Dis Contribution Candidate Nar Rep. Nan Ha	sbursement ne ayworth X House Senate President	State NY Disbursement For:	2012 General	Category/	Amount of Each Disbursement this Period
Friends Of N Mailing Addres City Carmel Purpose of Dis Contribution Candidate Nar Rep. Nan Ha Office Sought:	sbursement ne ayworth X House Senate	State NY Disbursement For: X Primary Other (spe	2012 General ecify) ▼	Category/ Type	Amount of Each Disbursement this Period 1000.00

SCHEDULE B (FEC FOIII 3X)			•	Use separate schedule(s)					IE NUMBER: PAGE 25 / 29 nly one)						
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	•	First, Middle Initial) ingress Committee	e						Trans Date			1919 ment	90072	2	
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City Birn	, mingham			State AL	Zip Code 35213				Amou	ınt of	Each	Disbur			-
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SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE (check only	
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	21b 27	22 X 23 24 25 26 28a 28b 28c 29 30b
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NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets A	association Political Action	Committee	
Full Name (Last, First, Middle Initial) Pat Roberts For US Senate Inc			Transaction ID: 19204146 Date of Disbursement
Mailing Address PO Box 433			$\begin{bmatrix} \begin{smallmatrix} M & G & M \\ O & G & M \end{smallmatrix} \end{bmatrix} \ \begin{bmatrix} \begin{smallmatrix} D & G & D \\ G & G & G \end{smallmatrix} \end{bmatrix} \ \begin{bmatrix} \begin{smallmatrix} Y & Y & Y & Y & Y \\ Z & O & I & I \end{bmatrix}^Y \\ \\ \end{bmatrix}$
,	State Zip Code KS 67530		Amount of Each Disbursement this Period
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Full Name (Last, First, Middle Initial) John Campbell For Congress			Transaction ID: 19204175 Date of Disbursement
Mailing Address 4590 Macarthur Boulevar Suite 500	d		$ \begin{bmatrix} M & M \\ 0 & 6 \end{bmatrix} \begin{bmatrix} M & M \\ 0 & 3 \end{bmatrix} \begin{bmatrix} M & Y & Y & Y & Y & Y & Y & Y & Y & Y & $
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SUBTOTAL of Disbursements This Page (optional)	•	2000.00
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State: CA

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Securities Industry and Financial Markets	Association Politic	al Action	Co	mmitte	e											
Full Name (Last, First, Middle Initial) PNC Bank							action I		19250 ment	389)					
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SUBTOTAL of Disbursements This Page (optional)	•	1.67
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